



Course Overview:

Compliance & Enforcement Course

Step into the world of Compliance & Enforcement and learn how organizations follow rules, laws, and internal policies. This course is designed for beginners, IT graduates, and working professionals who want to build a career in compliance and GRC.

You will learn:

- Basics of Compliance
- Policies, Standards & Procedures
- Laws & Regulations Overview
- Compliance Monitoring & Audits
- Handling Policy Violations
- Reporting & Documentation

By the end of the course, you will gain job-ready skills to work in Compliance, GRC, or Audit support roles and help organizations follow regulations properly.

1. Introduction to Compliance & Enforcement :

- What is Compliance?
- Importance of Compliance in Organizations
- Difference between Compliance, Governance & Risk
- Role of Enforcement in Compliance Programs

2. Regulatory & Legal Requirements :

- Overview of Laws, Regulations & Standards
- Global & Industry Regulations
- GDPR
- HIPAA
- PCI DSS
- SOX
- Data Protection & Privacy Laws

3. Compliance Frameworks & Standards :

- ISO/IEC 27001 & 27002
- NIST Compliance Overview
- CIS Controls
- COBIT for Compliance
- Mapping Regulations to Controls

4. Compliance Policies & Documentation :

- Compliance Policies & Procedures
- Code of Conduct & Ethics
- Documentation & Evidence Collection
- Record Retention Requirements

5. Compliance Monitoring & Audits :

- Internal Compliance Audits
- External Audits & Assessments
- Audit Planning & Execution
- Audit Findings & Remediation

6. Enforcement Mechanisms :

- Policy Enforcement Techniques
- Disciplinary Actions
- Incident Handling & Escalation
- Corrective & Preventive Actions (CAPA)

7. Risk-Based Compliance :

- Compliance Risk Identification
- Risk-Based Control Selection
- Continuous Compliance Monitoring
- Key Compliance Indicators (KCIs)

8. Third-Party Compliance :

- Vendor & Supplier Compliance
- Contractual Compliance & SLAs
- Third-Party Risk & Enforcement
- Regulatory Expectations for Vendors

9. Tools & Automation :

- GRC Tools Overview
- Compliance Tracking Systems
- Automated Evidence Collection
- Reporting & Dashboards

10. Incident, Breach & Violation Management :

- Handling Compliance Violations
- Breach Notification Requirements
- Regulatory Reporting
- Penalties & Legal Consequences

11. Awareness, Training & Culture :

- Compliance Training Programs
- Employee Awareness & Accountability
- Building a Compliance Culture
- Whistleblower Mechanisms

12. Case Studies & Practical Labs :

- Compliance Assessment Exercises
- Audit Simulation
- Regulatory Violation Case Studies
- Enforcement Action Analysis

13: Career & Certification Guidance :

- Roles: Compliance Analyst, GRC Professional, Auditor
- Certifications: ISO 27001, CISA, CISM, CRISC, COBIT
- Resume & Interview Preparation



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